

# Mathematics and Sudoku I

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We discuss on the worldwide famous Sudoku puzzle by using mathematical approach. In this paper we discuss on some basic techniques in Sudoku.

## 1. Mathematical definition of Sudoku.

We use the following notations: For a set  $K$ ,  $|K|$  denotes the cardinal number of  $K$ . In this paper we use almost all finite sets. So we can say,  $|K|$  means the number of elements in  $K$ . When  $K$  is an infinite set, we denote it by  $|K| = \infty$ .

We use the set  $J = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$  and  $J_1 = J_2 = J_3 = J$ . For each  $i_0 \in J_1$  and  $j_0 \in J_2$ , the sets  $col(i_0) = \{(i_0, j) : j \in J_2\} \subset J_1 \times J_2$  and  $row(j_0) = \{(i, j_0) : i \in J_1\} \subset J_1 \times J_2$  are called by the  $i_0$ -th column and the  $j_0$ -th row of  $J_1 \times J_2$ , respectively. And we define nine  $3 \times 3$  blocks in  $J_1 \times J_2$  as follows: We use the sets  $A = \{1, 2, 3\}$ ,  $B = \{4, 5, 6\}$ ,  $C = \{7, 8, 9\} \subset J$  and  $blk(1) = A \times A$ ,  $blk(2) = A \times B$ ,  $blk(3) = A \times C$ ,  $blk(4) = B \times A$ ,  $blk(5) = B \times B$ ,  $blk(6) = B \times C$ ,  $blk(7) = C \times A$ ,  $blk(8) = C \times B$  and  $blk(9) = C \times C$ , respectively. Sometimes we say, columns and rows are also column blocks and row blocks, respectively. We define the following sets:  $row = \{row(i) : i \in J_1\}$ ,  $col = \{col(j) : j \in J_2\}$ ,  $blk = \{blk(k) : k \in J\}$  and  $BLK = row \cup col \cup blk$ .

We define SUDOKU as maps. A map  $f : J_1 \times J_2 \rightarrow J_3$  is a sudoku map provided that it satisfies the following condition:

(SDM)  $f \upharpoonright b : b \rightarrow J_3$  is bijective for each  $b \in BLK$ .

Here,  $f \upharpoonright b$  is the restriction map of  $f$  to the subset  $b \subset J_1 \times J_2$ .

Let  $L_0$  be a subset of  $J_1 \times J_2$ , and  $f_0 : L_0 \rightarrow J_3$  be a map. We say,  $f_0$  is a sudoku problem map. A map  $f : J_1 \times J_2 \rightarrow J_3$  is a sudoku solution map of  $f_0$  provided that it satisfies the following condition:

(SOL)  $f$  is a sudoku map with  $f \upharpoonright L_0 = f_0$ .

We define the set  $SOL(f_0) = \{f : f \text{ is a sudoku solution map of } f_0\}$ . In general,  $f_0$  has many sudoku solution maps.

We define the set  $POW(J_3) = \{K : K \subset J_3\}$ , that is, it consists of all subsets of  $J_3$ . Let  $K = (K_\alpha)_{\alpha \in J_1 \times J_2}$  be a  $9 \times 9$  matrix with  $K_\alpha \in POW(J_3)$  for each  $\alpha \in J_1 \times J_2$ . Let  $K' = (K'_\alpha)_{\alpha \in J_1 \times J_2}$  be another  $9 \times 9$  matrix with  $K'_\alpha \in POW(J_3)$  for each  $\alpha \in J_1 \times J_2$ . We say,  $K'$  is smaller than  $K$ , in notation  $K' \leq K$ , provided that  $K'_\alpha \subset K_\alpha$  for

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each  $\alpha \in J_1 \times J_2$ . Also we define matrices  $K \cup K' = (S_\alpha)_{\alpha \in J_1 \times J_2}$  and  $K \cap K' = (T_\alpha)_{\alpha \in J_1 \times J_2}$  such that  $S_\alpha = K_\alpha \cup K'_\alpha$  and  $T_\alpha = K_\alpha \cap K'_\alpha$  for each  $\alpha \in J_1 \times J_2$ . By the same way we can define union and intersection of many  $9 \times 9$  matrices and we can use the usual rules in the set theory for  $9 \times 9$  matrices. In this paper, matrices are these  $9 \times 9$  matrices. Let  $K = (K_\alpha)_{\alpha \in J_1 \times J_2}$  be a singleton matrix provided that  $|K_\alpha| = 1$  for each  $\alpha \in J_1 \times J_2$ .

Let  $f \in SOL(f_0)$ . We say, a  $9 \times 9$  matrix  $K = (K_\alpha)_{\alpha \in J_1 \times J_2}$  is a sudoku matrix associated with  $(f, f_0)$  provided that it satisfies the following condition:

(SMTX)  $f(\alpha) \in K_\alpha$  for each  $\alpha \in J_1 \times J_2$ .

We denote  $STMX(f, f_0) = \{K : K \text{ is a sudoku matrix associated with } (f, f_0)\}$  and  $STMX(f_0) = \cap \{STMX(f, f_0) : f \in SOL(f_0)\}$ . Each matrix  $K \in STMX(f_0)$  is called as a sudoku matrix associated with  $f_0$ .

We can easily show the followings by definitions:

Proposition 1. Let  $K$  and  $K'$  be  $9 \times 9$  matrices.

- (a) If  $K, K' \in STMX(f, f_0)$ , then  $K \cap K' \in STMX(f, f_0)$ .
- (b) If  $K \leq K'$  and  $K \in STMX(f, f_0)$ , then  $K' \in STMX(f, f_0)$ .
- (c) If  $K, K' \in STMX(f_0)$ , then  $K \cap K' \in STMX(f_0)$ .
- (d) If  $K \leq K'$  and  $K \in STMX(f_0)$ , then  $K' \in STMX(f_0)$ .

For a sudoku problem map  $f_0: L_0 \rightarrow J_3$ , we define the sudoku matrix  $Ker(f_0) = (Ker(f_0)_\alpha)_{\alpha \in J_1 \times J_2}$  such that  $Ker(f_0)_\alpha = \{f_0(\alpha)\}$  for each  $\alpha \in L_0$  and  $Ker(f_0)_\alpha = J_3$  for each  $\alpha \in J_1 \times J_2 - L_0$ . Similarly, for a sudoku solution map  $f$  of  $f_0$ , we define the sudoku matrix  $Ker(f) = \{Ker(f)_\alpha\}_{\alpha \in J_1 \times J_2}$  such that  $Ker(f)_\alpha = \{f(\alpha)\}$  for each  $\alpha \in J_1 \times J_2$ .

We can easily show the following by definitions.

Proposition 2. Let  $f \in SOL(f_0)$  and  $K \in STMX(f, f_0)$ .

- (a)  $Ker(f_0) \in STMX(f_0)$ .
- (b)  $Ker(f), Ker(f_0) \in STMX(f, f_0)$  and  $Ker(f) \leq Ker(f_0)$ .
- (c)  $K = Ker(f)$  if and only if  $K$  is a singleton matrix.

Now, we make a mathematical sudoku game as follows: Let  $f_0: L_0 \rightarrow J_3$  be a sudoku problem map. We assume that  $f_0$  has a solution sudoku map  $f: J_1 \times J_2 \rightarrow J_3$ . Our approach is to make a decreasing finite sequence

$$(*) \quad K_0 \rightarrow K_1 \rightarrow K_2 \rightarrow \dots \rightarrow K_m$$

of sudoku matrices in  $STMX(f_0)$  or in  $STMX(f, f_0)$  such that

$$(**) \quad K_0 = Ker(f_0) \text{ and } K_m \text{ is a singleton sudoku matrix.}$$

In this case, since  $K_m$  is a singleton sudoku matrix, by Proposition 2 we have  $K_m = Ker(f)$ , that is, we take a solution map  $f$ . We say, this sequence is a solution

sequence by sudoku matrices of  $f_0$ , or of  $(f, f_0)$ .

2. Naked self-filled sets .

We need some theorems, which give guarantees to make decreasing sudoku matrices. Let  $\mathbf{K}=(K_\alpha)_{\alpha \in J_1 \times J_2}$  be a matrix. For each set  $W \subset J_1 \times J_2$ , we define  $K_W = \cup \{K_\alpha : \alpha \in W\}$ . Let  $0 \leq n \leq 9$  and  $s = \{\alpha_1, \alpha_2, \dots, \alpha_n\} \subset J_1 \times J_2$ . We say that  $s$  is a naked  $n$ -self-filled set of  $\mathbf{K}$  provided that it satisfies the following condition:

$$(nNSF) \quad |K_s| = |K_{\alpha_1} \cup K_{\alpha_2} \cup \dots \cup K_{\alpha_n}| = |s| = n .$$

Proposition 3. Let  $\mathbf{K}=(K_\alpha)_{\alpha \in J_1 \times J_2} \in STMX(f, f_0)$  and  $b \in BLK$ . If  $s$  is a naked  $n$ -self-filled set of  $\mathbf{K}$  and  $s \subset b$ , then we have the followings:

- (a)  $f(s) = K_s$  and  $f(b - s) = J_3 - K_s$ ,
- (b)  $f \mid s : s \rightarrow K_s$  and  $f \mid b - s : b - s \rightarrow J_3 - K_s$  are bijective.

Proof. By the condition (SDM) we have

- (1)  $f \mid b : b \rightarrow J_3$  is bijective.

Since  $s \subset b$ , we have that

- (2)  $b = s \cup (b - s)$  and  $s \cap (b - s) = \phi$ .

By (1) and (2), we have that

- (3)  $J_3 = f(b) = f(s) \cup f(b - s)$  and  $f(s) \cap f(b - s) = \phi$ .

By (3) we have

- (4)  $f(b - s) = J_3 - f(s)$ .

Let  $s = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ . By the condition (SMTX), we have

- (5)  $f(\alpha_i) \in K_{\alpha_i}$  for each  $i$ ,  $1 \leq i \leq n$ .

By (5) we have

- (6)  $f(s) = \{f(\alpha_1), f(\alpha_2), \dots, f(\alpha_n)\} \subset K_{\alpha_1} \cup K_{\alpha_2} \cup \dots \cup K_{\alpha_n} = K_s$ .

By (6)

- (7)  $|f(s)| \leq |K_s|$ .

By (1), we have

- (8)  $|f(s)| = |s| = n$ .

Since  $s$  is a naked  $n$ -self-filled set of  $\mathbf{K}$ , by the condition ( $nNSF$ )

- (9)  $|K_s| = |s| = n$ .

By (6),(7),(8),(9) we have

- (10)  $f(s) = K_s$ .

By (4) and (10) we have

- (11)  $f(b - s) = J_3 - K_s$ .

By (10),(11) we have (a). Also by (1) and (a), we have (b). This completes the proof of Proposition 3.

Proposition 4. Let  $\mathbf{K}=(K_\alpha)_{\alpha \in J_1 \times J_2}, \mathbf{K}'=(K'_\alpha)_{\alpha \in J_1 \times J_2} \in STMX(f, f_0)$  and  $s \subset b \in BLK$ . If  $s$  is a naked  $n$ -self-filled set of  $\mathbf{K}$  and  $\mathbf{K}' \leq \mathbf{K}$ , then  $s$  is also a naked  $n$ -self-filled set of  $\mathbf{K}'$  and  $K'_s = K_s$ .

Proof. Let  $s = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$ . Since  $\mathbf{K}' \leq \mathbf{K}$  and these satisfy the condition (SMTX), we have

(1)  $f(\alpha_i) \in K'_{\alpha_i} \subset K_{\alpha_i}$  for each  $i$ ,  $1 \leq i \leq n$ .

By (1), we have

$$f(s) = \{f(\alpha_1), f(\alpha_2), \dots, f(\alpha_n)\} \subset K'_s = K'_{\alpha_1} \cup K'_{\alpha_2} \cup \dots \cup K'_{\alpha_n} \subset K_s = K_{\alpha_1} \cup K_{\alpha_2} \cup \dots \cup K_{\alpha_n},$$

that is,

$$(2) f(s) \subset K'_s \subset K_s.$$

Since  $s$  is a naked  $n$ -self-filled set of  $K$ , by Proposition 3 we have that

$$(3) f(s) = K_s.$$

By (2) and (3), we have

$$(4) f(s) = K'_s = K_s.$$

Since  $s$  is a naked  $n$ -self-filled set of  $K$ , we have that

$$(5) |K_s| = |s| = n,$$

By (4), (5) we have

$$(6) |K'_s| = |K_s| = |s| = n.$$

(6) means that  $s$  is also a naked  $n$ -self-filled set of  $K'$ . We have proved Proposition 4.

Proposition 5. Let  $K = (K_\alpha)_{\alpha \in J_1 \times J_2} \in \text{STMX}(f, f_0)$  and  $b \in \text{BLK}$ . Let  $s$  be a naked  $n$ -self-filled set of  $K$  and  $s \subset b$ . Then we have  $K' = (K'_\alpha)_{\alpha \in J_1 \times J_2} \in \text{STMX}(f, f_0)$  and  $K' \leq K$ , where  $K'$  is defined by

$$(*) K'_\alpha = \begin{cases} K_\alpha & \text{for } \alpha \in s, \\ K_\alpha - K_s & \text{for } \alpha \in b - s, \\ K_\alpha & \text{for } \alpha \in J_1 \times J_2 - b. \end{cases}$$

We use the notation as follows:  $K' = n\text{NSF}((s, b), K)$ .

Proof. We show the condition (SMTX) for  $K'$ , that is,

$$(1) f(\alpha) \in K'_\alpha \text{ for each } \alpha \in J_1 \times J_2.$$

Since  $K$  satisfies (SMTX), then

$$(2) f(\alpha) \in K_\alpha \text{ for each } \alpha \in J_1 \times J_2.$$

By the above definition (\*) and (1), we have

$$(3) K'_\alpha = K_\alpha \ni f(\alpha) \text{ for each } \alpha \in s \cup (J_1 \times J_2 - b).$$

By Proposition 3, we have that

$$(4) f(b - s) = J_3 - K_s.$$

Take any  $\alpha \in b - s$ . By (2), (4), we have

$$(5) f(\alpha) \in K_\alpha \cap f(b - s) = K_\alpha \cap (J_3 - K_s) = K_\alpha - K_s = K'_\alpha \text{ for } \alpha \in b - s.$$

By (3) and (5), we have (1). Hence  $K' \in \text{STMX}(f, f_0)$ .

Easily by the above definition (\*), we can show  $K' \leq K$ . Therefore, we complete the proof of Proposition 5.

Proposition 6. Let  $K \in \text{STMX}(f_0)$  and  $b \in \text{BLK}$ . Let  $s$  be a naked  $n$ -self-filled set of  $K$  and  $s \subset b$ . Then  $K' = n\text{NSF}((s, b), K) \in \text{STMX}(f_0)$ .

Proof. Since  $K \in \text{STMX}(f_0) = \bigcap \{ \text{STMX}(f, f_0) : f \in \text{SOL}(f_0) \}$ , for each  $f \in \text{SOL}(f_0)$ ,  $K \in \text{STMX}(f, f_0)$ . Thus, by Proposition 5,  $K' \in \text{STMX}(f, f_0)$  for each  $f \in \text{SOL}(f_0)$ , that is,  $K' \in \text{STMX}(f_0)$ . Hence we show Proposition 6.

Proposition 7. Let  $K = (K_\alpha)_{\alpha \in J_1 \times J_2} \in \text{STMX}(f, f_0)$ ,  $b \in \text{BLK}$  and  $b \supset s \supset t$ . If  $s$  is a

naked  $n$ –self–filled set of  $\mathbf{K}$  and  $t$  is a naked  $m$ –self–filled set of  $\mathbf{K}$ , then  $(s-t)$  is a naked  $(n-m)$ –self–filled set of  $\mathbf{K}' = mNSF((t,b),\mathbf{K})$ .

Proof. Let  $t = \{\alpha_1, \alpha_2, \dots, \alpha_m\} \subset s = \{\alpha_1, \alpha_2, \dots, \alpha_m, \alpha_{m+1}, \dots, \alpha_n\}$ . Since  $s$  and  $t$  are naked self–filled sets of  $\mathbf{K}$ , we have that

- (1)  $|K_t| = m$ ,  $K_t = K_{\alpha_1} \cup K_{\alpha_2} \cup \dots \cup K_{\alpha_m}$ ,
- (2)  $|K_s| = n$ ,  $K_s = K_{\alpha_1} \cup K_{\alpha_2} \cup \dots \cup K_{\alpha_m} \cup K_{\alpha_{m+1}} \cup \dots \cup K_{\alpha_n} = K_t \cup K_{s-t}$  .

By (SDM) we have

- (3)  $f \mid b \rightarrow J_3$  is bijective.

By Proposition 3 we have

- (4)  $f(s) = K_s$  and  $f(t) = K_t$ .

By (3) we have

- (5)  $f(t) \cup f(s-t) = f(s)$  and
- (6)  $f(t) \cap f(s-t) = \phi$ .

By (5) and (6), we have

- (7)  $f(s) - f(t) = f(s-t)$ .

By (4) and (7) we have

- (8)  $K_s - K_t = f(s-t)$ .

Since  $\mathbf{K}' = mNSF((t,b),\mathbf{K})$ , we have

$$(9) \quad K'_\alpha = \begin{cases} K_\alpha & \text{for } \alpha \in t, \\ K_\alpha - K_t & \text{for } \alpha \in b-t, \\ K_\alpha & \text{for } \alpha \in J_1 \times J_2 - b. \end{cases}$$

By (9) we have

$$\begin{aligned} K'_{s-t} &= K'_{\alpha_{m+1}} \cup K'_{\alpha_{m+2}} \cup \dots \cup K'_{\alpha_n} = (K_{\alpha_{m+1}} - K_t) \cup (K_{\alpha_{m+2}} - K_t) \cup \dots \cup (K_{\alpha_n} - K_t) \\ &= K_{\alpha_{m+1}} \cup K_{\alpha_{m+2}} \cup \dots \cup K_{\alpha_n} - K_t = K_{s-t} - K_t = K_{s-t} \cup K_t - K_t = K_s - K_t, \text{ that is,} \\ (10) \quad K'_{s-t} &= K_s - K_t. \end{aligned}$$

By (3),(8) and (10) we have

- (11)  $|K'_{s-t}| = |K_s - K_t| = |f(s-t)| = |s-t| = n-m$ .

By (11) we show that  $s-t$  satisfies  $(n-m)NSF$  for  $\mathbf{K}'$ , and hence  $s-t$  is a naked  $(n-m)$ –self filled set of  $\mathbf{K}'$ . We complete the proof.

Proposition 8. Let  $b \in BLK$  and  $\mathbf{K} \in STMX(f, f_0)$ .

- (a)  $b$  is a naked 9–self–filled set of  $\mathbf{K}$ .
- (b)  $\phi$  is a naked 0–self–filled set of  $\mathbf{K}$ .

Proof. Let us show (a). Let  $b = \{\alpha_1, \alpha_2, \dots, \alpha_9\}$ . By (SDM), we have

- (1)  $f \mid b : b \rightarrow J_3$  is bijective.

By (SMTX), we have

- (2)  $f(\alpha_i) \in K_{\alpha_i} \subset J_3$ .

By (2), we have

$$(3) \quad f(b) = \{f(\alpha_1), f(\alpha_2), \dots, f(\alpha_9)\} \subset K_{\alpha_1} \cup K_{\alpha_2} \cup \dots \cup K_{\alpha_9} = K_b \subset J_3.$$

By (1),

- (4)  $f(b) = J_3$ .

By (3),(4)

$$(5) K_b = J_3.$$

By(4),(5) we have

$$(6) |K_b| = |J_3| = 9 = |b|.$$

This means that  $b$  is a naked 9–self–filled set. Thus we have (a).

Next (b) follows as the fact  $K_\phi = \phi$ . Hence, we complete the proof.

5. Hidden self–filled sets.

Let  $K = (K_\alpha)_{\alpha \in J_1 \times J_2} \in \mathbf{STMX}(f, f_0)$ . Let  $t \subset s \subset J_1 \times J_2$  and  $s$  be a naked  $n$ –self–filled set of  $K$ . We say,  $t$  is a hidden  $m$ –self–filled set in  $s$  of  $K$  provided that it satisfies the following condition:

$$(mHSF) \quad |K_s - K_{s-t}| = |t| = m.$$

Proposition 9. Let  $K = (K_\alpha)_{\alpha \in J_1 \times J_2} \in \mathbf{STMX}(f, f_0)$ . Let  $t \subset s \subset J_1 \times J_2$  and  $s$  be a naked  $n$ –self–filled set of  $K$ . Here  $n = |s| \geq |t| = m$ . Then the following conditions are equivalent.

(a) The set  $t$  is a hidden  $m$ –self–filled set in  $s$  of  $K$ .

(b) The set  $(s - t)$  is a naked  $(n - m)$ –self filled set of  $K$ .

Proof. Since  $s$  is a naked  $n$ –self–filled set of  $K$ , by  $(nNSF)$  we have

$$(1) |K_s| = |s| = n.$$

Since  $t \subset s$ , we have  $s - t \subset s$  and

$$(2) K_s \supset K_{s-t}.$$

By (2) we have

$$(3) K_s = (K_s - K_{s-t}) \cup K_{s-t} \quad \text{and} \quad (K_s - K_{s-t}) \cap K_{s-t} = \phi.$$

By (3) we have

$$(4) |K_s| = |K_s - K_{s-t}| + |K_{s-t}|.$$

By (1) and (4) we have

$$(5) n = |K_s - K_{s-t}| + |K_{s-t}|.$$

First, we show that (a) implies (b), in notation, (a) $\rightarrow$ (b).

By the assumption (a), then the set  $t$  is a hidden  $m$ –self–filled set in  $s$  of  $K$ .

By  $(mHSF)$ , we have

$$(6) |K_s - K_{s-t}| = |t| = m.$$

By (5) and (6) we have

$$(7) |K_{s-t}| = n - m = |s - t|.$$

(7) means that  $(s - t)$  is a naked  $(n - m)$ –self–filled set of  $K$ . Hence, we have (b).

Secondly, we show (b) $\rightarrow$ (a).

By the assumption (b), then  $(s - t)$  is a naked  $(n - m)$ –self–filled set of  $K$ . By  $((n - m)NSF)$ , we have

$$(8) |K_{s-t}| = n - m = |s - t|.$$

By (5) and (8), we have

$$(9) |K_s - K_{s-t}| = m = |t|.$$

(9) means that  $t$  is a hidden  $m$ –self–filled set in  $s$  of  $K$ . Hence, we have (a).

Therefore, we complete the proof.

Proposition 10. Let  $K = (K_\alpha)_{\alpha \in J_1 \times J_2} \in \text{STMX}(f, f_0)$  and  $b \in \text{BLK}$ . Let  $s$  be a naked  $n$ -self-filled set of  $K$  and  $s \subset b$ . If  $t \subset s$  and  $t$  is a hidden  $m$ -self-filled set in  $s$  of  $K$ , then  $t$  is a naked  $m$ -self-filled set of  $K' = (n-m)\text{NSF}((s-t, b), K)$ .

Proof. By Proposition 9,  $s-t$  is a naked  $(n-m)$ -self-filled set of  $K$ . Then by Proposition 7,  $s-(s-t)=t$  is a naked  $n-(n-m)=m$ -self-filled set of  $K'$ . Hence we complete the proof.

Proposition 11. Let  $K = (K_\alpha)_{\alpha \in J_1 \times J_2} \in \text{STMX}(f, f_0)$  and  $b \in \text{BLK}$ . Let  $s$  be a naked  $n$ -self-filled set of  $K$  and  $t \subset s \subset b$ . Then the followings are equivalent:

- (a)  $t$  is a hidden  $m$ -self-filled set in  $s$  of  $K$ .
- (b) There exists a set  $L \subset K_s$  such that
  - (i)  $t = t_L$ ,  $t_L = \{\beta \in s : L \cap K_\beta \neq \phi\}$
  - (ii)  $|t_L| = |L| = m$ .

Proof. First, we show that (a)  $\rightarrow$  (b).

Let  $L = K_s - K_{s-t} \subset K_s$ . We need the following Claim 1 and Claim 2:

Claim 1.  $t \supset \{\beta \in s : L \cap K_\beta \neq \phi\} = t_L$ .

We assume that Claim 1 does not hold. Then there exists an  $\alpha \in t_L$  such that  $\alpha \notin t$ . Then we have

- (1)  $\alpha \in s$
- (2)  $L \cap K_\alpha \neq \phi$  and
- (3)  $\alpha \notin t$ .

By (1) and (3),

- (4)  $\alpha \in s - t$ .

By (4),

- (5)  $K_\alpha \subset K_{s-t} \subset K_s$ .

Then by (1),(4) and (5) we have

$$K_\alpha \cap L = K_\alpha \cap (K_s - K_{s-t}) = K_\alpha \cap K_s - K_\alpha \cap K_{s-t} = K_\alpha - K_\alpha = \phi,$$

that is,

- (6)  $K_\alpha \cap L = \phi$ .

(6) and (2) give a contradiction. Hence Claim 1 holds.

Claim 2.  $t \subset \{\beta \in s : L \cap K_\beta \neq \phi\} = t_L$ .

We assume that Claim 2 does not hold. Then there exists an  $\alpha$  such that

- (7)  $\alpha \in t$
- (8)  $\alpha \notin \{\beta \in s : L \cap K_\beta \neq \phi\} = t_L$ .

Since  $t \subset s$  and (7), then

- (9)  $\alpha \in s$ .

Hence, by (9) and (8)

- (10)  $L \cap K_\alpha = \phi$ .

By (9) we have

- (11)  $K_\alpha \subset K_s$ .

By (10), (11) we have

$$\phi = L \cap K_\alpha = (K_s - K_{s-t}) \cap K_\alpha = K_s \cap K_\alpha - K_{s-t} \cap K_\alpha = K_\alpha - K_{s-t} \cap K_\alpha.$$

Hence we have

- (12)  $K_\alpha \subset K_{s-t} \cap K_\alpha \subset K_{s-t}$ .

Since  $t$  is a hidden  $m$ -self-filled set in  $s$  of  $\mathbf{K}$ , by Proposition 9,

(13)  $(s-t)$  is a naked  $(n-m)$ -self-filled set of  $\mathbf{K}$ .

By (13) and Proposition 3 we have

$$(14) f(s-t) = K_{s-t} \text{ and}$$

$$(15) f(b-(s-t)) = J_3 - K_{s-t}.$$

Since  $t \subset s \subset b$ , we have  $t \subset b - (s-t)$ . Hence, by (15) we have

$$(16) f(t) \subset J_3 - K_{s-t}.$$

By (7) and (16) we have

$$(17) f(\alpha) \in f(t) \subset J_3 - K_{s-t}.$$

However, since  $\mathbf{K} \in \mathbf{STMX}(f, f_0)$ , by (SMTX)

$$(18) f(\alpha) \in K_\alpha.$$

By (12) and (18)

$$(19) f(\alpha) \in K_{s-t}.$$

Both (17) and (19) give a contradiction. Therefore, we have Claim 2.

By Claim 1 and Claim 2 we have  $t = t_L$ , that is, the condition (i).

Since  $t$  is a hidden  $m$ -self-filled set in  $s$  of  $\mathbf{K}$  by (a), by ( $mHSF$ ) it satisfies

$$(20) |L| = |K_s - K_{s-t}| = m = |t| = |t_L|.$$

Thus (20) means the condition (ii). Hence we have (b).

Next, we show that (b)  $\rightarrow$  (a).

By the definition of  $t_L$ , we have  $t_L \subset s$ . Then  $m = |t_L| \leq |s| = n$ . Thus we may put

$$(21) t_L = \{\alpha_1, \alpha_2, \dots, \alpha_m\} \subset \{\alpha_1, \alpha_2, \dots, \alpha_m, \alpha_{m+1}, \dots, \alpha_n\} = s.$$

By the definition of  $t_L$ ,

$$(22) L \cap K_{\alpha_i} = \phi, \quad m+1 \leq i \leq n.$$

By (22) we have  $K_{s-t_L} \cap L = (\cup_{i=m+1}^n K_{\alpha_i}) \cap L = \cup_{i=m+1}^n (K_{\alpha_i} \cap L) = \phi$ , that is,

$$(23) K_{s-t_L} \cap L = \phi.$$

Since  $L \subset K_s$ , by (23) we have

$$(24) L \subset K_s - K_{s-t_L}.$$

Since  $s \subset b$  and  $s$  is a naked  $n$ -self-filled set of  $\mathbf{K}$ , by Proposition 3 we have

$$(25) f(s) = K_s \text{ and}$$

$$(26) f \upharpoonright s : s \rightarrow f(s) \text{ is bijective.}$$

By (25), we have

$$(27) \{f(\alpha_i) : i = 1, 2, \dots, n\} = f(s) = K_s.$$

Since  $\mathbf{K} \in \mathbf{STMX}(f, f_0)$ , we have

$$(28) f(\alpha_i) \in K_{\alpha_i}, \quad 1 \leq i \leq n.$$

By (28) we have

$$(29) \{f(\alpha_i) : i = m+1, \dots, n\} \subset \cup_{i=m+1}^n K_{\alpha_i} = K_{s-t_L}.$$

By (26), (27) and (29) we have

$$(30) K_s - K_{s-t_L} \subset \{f(\alpha_i) : i = 1, 2, \dots, n\} - \{f(\alpha_i) : i = m+1, \dots, n\} = \{f(\alpha_i) : i = 1, 2, \dots, m\}.$$

By (24) and (30) we have

$$(31) L \subset K_s - K_{s-t_L} \subset \{f(\alpha_i) : i = 1, 2, \dots, m\}.$$

By (26) and (31) we have



$$(32) |L| \leq |K_s - K_{s-t_L}| \leq |\{f(\alpha_i) : i = 1, 2, \dots, m\}|.$$

By (26),

$$(33) m = |t_L| = |\{\alpha_1, \alpha_2, \dots, \alpha_m\}| = |\{f(\alpha_1), f(\alpha_2), \dots, f(\alpha_m)\}|.$$

By the assumption  $|L| = |t_L| = m$ , (32) and (33) imply that

$$(34) |K_s - K_{s-t_L}| = m = |t_L| \text{ and } L = K_s - K_{s-t_L}.$$

(33) and (34) mean that  $t = t_L$  is a hidden  $m$ -self-filled set in  $s$  of  $K$ . Hence we have (a).

Hence we complete the proof of Proposition 11.

Remark 12. Many sudoku puzzler use many terminologies without any mathematical definitions. Among of them are locked candidates,  $n$ -nations alliance sets, hidden  $m$ -nations alliance sets and so on, for example see Mepham[2].

The attempt to give the logical definition of locked candidates, naked  $n$ -nations alliance sets and of hidden  $m$ -nations alliance sets by Crook[1] and Masuo[2], respectively. However, they can not show the relations among them. Because their definitions contains some ambiguities. So we need the notion of sudoku matrices and give mathematical definitions for naked  $n$ -self-filled sets and hidden  $m$ -self-filled sets by using sudoku matrices.

Our approach is new, but many our concepts depend on many previous authors ones. For example, the concept of  $n$ -self-filled sets comes from Crook [1] and the concept of hidden  $m$ -self-filled sets comes from Sasaο[2].

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