# Closure Properties of Alternating One-Way Multihead Finite Automata with Constant Leaf-Sizes

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Previous papers introduced alternating multihead finite automata with constant leaf-sizes (AMHFACLs) and investigated several properties of these automata. Leaf-size, in a sense, reflects the number of processors that run in parallel in scanning a given input word. AMHFACLs are more realistic parallel computation models than ordinary alternating multihead finite automata, because of the restriction that the number of processors running in parallel should be constant. This paper examines the closure properties of the class of sets accepted by oneway AMHFACLs and one-way alternating simple multihead finite automata with constant leaf-sizes in the operations of taking union, intersection, complementation, concatenation, Kleene closure, reversal, and &-free homomorphism.

#### 1. Introduction

We previously introduced alternating multihead finite automata with constant leaf-sizes (AMHFACLs) and investigated several properties of these automata [1]. The main results were as follows: (1) two-way sensing AMHFACLs can be simulated by two-way nondeterministic simple multihead finite automata, (2) for oneway AMHFACLs, k+1 heads are better than k, and (3) for one-way alternating simple multihead finite automata with constant leaf-sizes (ASPMHFACLs), sensing versions are more powerful than non-sensing versions.

Leaf size, in a sense, reflects the number of processors that run in parallel in scanning a given input. AMHFACLs are more realistic parallel computation models than ordinary alternating multihead finite automata, because of the restriction that the number of processors running in parallel should be constant. It is interesting to examine the properties of AMHFACLs and ASPMHFACLs, because they have two kinds of parallelism: constant leaf-size and the number of heads.

In this paper, we examine the closure properties of the class of sets accepted by AMHFACLs and ASPMHFACLs in the operations of taking union, intersection, complementation, concatenation, Kleene closure, reversal, and  $\varepsilon$ -free homomorphism.

Section 2 explains the terminology and notation used in this paper. In Sections 3 and 4, we investigate the properties of **AMHFACLs** ASPMHFACLs, respectively.

Preliminaries

The reader is referred to King [2] for formal definitions of an alternating multihead finite automation (AMHFA). An alternating simple multihead finite automation [6] (ASPMHFA) is an AMHFA with the restriction that one head (called the 'reading head') can sense input symbols, while the others (called the 'counting heads') can detect only the left endmarker "¢" and the right endmarker "\$". When the heads of an AMHFA (ASPMHFA) are allowed to sense the presence of other heads in the same input position, we call it a 'sensing' AMHFA (ASPMHFA).

A one-way AMHFA is defined in the usual way. A semi-one-way ASPMHFA is an ASPMHFA whose reading head can move only in one direction, but whose conting heads can move in two directions. A one-way ASPMHFA is an ASPMHFA whose reading and counting heads can move in one direction.

A step of an AMHFA (ASPMHFA) M consists of reading a symbol from the string input by each head, moving the heads in the specified directions (note that any of the heads can remain stationary during a move), and entering a new state, in accordance with the transition function. If one of the heads falls off the input words, then M can make no further move.

For any AMHFA (ASPMHFA) M, let T(M) be the set of inputs accepted by M. In this paper, to represent the different kinds of one-way ASPMHFAs (resp. AMHFAs, sensing AMHFAs) systematically, we use the notation Xk-HFA (resp. Xk-HFA, XSNk-HFA),  $k \ge 1$ , where

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(1)  $X \in \{D, N, A, U\}$ 

D: deterministic

N: nondeterministic

A: alternation

U: alternating automaton with only universal states

(2)  $Y \in \{SP, SNSP\}$ 

SP: simple

SNSP: sensing simple

(3) k-H: k-head (the number of heads is k). Furthermore,

 $\mathcal{L}[XYk-HFA] = \{T | T = T(M) \text{ for some } XYk-HFA \}$ 

 $\mathscr{L}[XSNk-HFA] = \{T | T = T(M) \text{ for some } XSNk-HFA M\}$ 

 $\mathscr{L}[Xk\text{-HFA}] = \{T \mid T = T(M) \text{ for some } Xk\text{-HFA } M\}.$  **Definition 2.1** Let  $L: N \to R$  be a function, where N denotes the set of all positive integers and R denotes the set of all nonnegative real numbers. For each tree t, let LEAF(t) denote the leaf size of t (that is, the number of leaves of t). We say that for  $X \in \{A, U\}$ ,  $Y \in \{SP, SNSP\}$ , an XYk-HFA (XSNk-HFA, Xk-HFA) M is L(n) leaf-size bounded if when we give an input x of length n to M there is no computation tree of M on x such that LEAF(t)>  $\lceil L(n) \rceil^{-1}$ .

For each  $X \in \{A, U\}$ ,  $Y \in \{\text{SP, SNSP}\}$ ,  $k \ge 1$ , we let XYk-HFA(L(n)) (resp. Xk-HFA(L(n)), XSNk-HFA(L(n))) denote L(n) leaf-size bounded XYk-HFA (resp. Xk-HFA, XSNk-HFA). Let  $\mathcal{L}[XYk-HFA(L(n))] = \{T \mid T = T(M) \text{ for some } XYk-HFA(L(n))M\} \text{ for } X \in \{A, U\} \text{ and } Y \in \{SP, SNSP\}$ .  $\mathcal{L}[Xk-HFA(L(n))]$  and  $\mathcal{L}[XSNk-HFA(L(n))]$  are defined similarly.

## 3. Multihead Finite Automata

In this section, we will investigate the closure properties of the class of sets accepted by AMHFACLs. The following lemma is shown in Matsuno et al. [1].

**Lemma 3.1** For each  $r \ge 1$ , let

$$A(r) = \{ w_1 2 w_2 2 \cdots 2 w_{2r} | \forall i (1 \le i \le 2r) [w_i \in \{0, 1\}^*] \& \forall j (1 \le j \le r) [w_j = w_{2r+1-j}] \}$$

and for each string  $x = w_1 2w_2 2 \cdots 2w_2$ , in A(r) and for each  $i(1 \le i \le r)$ , let the pair of  $w_i$  and  $w_{2r+1-i}$  be called twins of x. Then, Ak-HFA(s) can compare all twins of a string in A(r) if and only if  $r \le k(k-1)s/2$ .

Lemma 3.2 Let

$$T_1(b) = \{w_1 2w_2 2 \cdots 2w_{2b} \mid \forall i (1 \le i \le 2b) [w_i \in \{0, 1\}^* \\ 3\{0, 1\}^*] \& \exists i, j [w_i = x3y \& w_j = x3z \& y \ne z] \}.$$
Then, for each  $r \ge 1$ ,

- (1)  $T_1(r(r-1)/2+1) \in \mathcal{L}[N2-HFA]$ , and
- (2)  $T_1(r(r-1)/2+1) \notin \mathcal{L}[NSNr-HFA].$

Proof. (1): The proof of (1) is omitted, since it is obvious.

(2): Suppose that for some  $r \ge 1$ , there exists an NSNr-HFA M accepting  $T_1(r(r-1)/2+1)$ . Let  $T_1'(b)$ 

 $= \{w_1 2 w_2 2 \cdots 2 w_{2b} | \forall i (1 \le i \le 2b) [w_i \in \{0, 1\}^* 3\{0, 1\}^* \& w_i = w_{2b+1-i} = B (\min (i, 2b+1-i)) 3y \& y \in \{0, 1\}^* \}^2$  and let  $T_i''(b) = \{w_1 2 w_2 2 \cdots 2 w_{2b} | \forall i (1 \le i \le 2b) [w_i \in \{0, 1\}^* 3\{0, 1\}^* \& w_i = B (\min (i, 2b+1-i)) 3y \& y \in \{0, 1\}^* \}.$ 

It is easily seen that  $T_1(b)$  is accepted by a (one-head) finite automation, and therefore  $T_1''(b)$  is a regular set.

On the other hand, it is easily seen that  $T'(b) = \overline{T_1(b)} \cap T''(b)$ . From these facts and the fact that  $\mathcal{L}\{NSNr-HFA\}$   $(r \ge 1)$  is closed under intersection with regular sets, it follows that T'(r(r-1)/2+1) is accepted by M.

We can prove that  $T'_1(r(r-1)/2+1) \notin \mathcal{L}[NSNr-HFA]$  by using the same technique as in the proof of Theorem 1 [3]. This is a contradiction.

Q.E.D.

**Theorem 3.1** For each  $k \ge 2$  and each  $s \ge 1$ ,  $\mathcal{L}[Ak-HFA(s)]$  and  $\mathcal{L}[ASNk-HFA(s)]$  are not closed under complementation.

Proof. It is shown in Theorem 4.4 in [1] that  $\mathscr{L}[\mathsf{ASNk}\mathsf{-HFA}(s)] \subsetneq \mathscr{L}[\mathsf{NSN}(ks)\mathsf{-HFA}] \quad (k \ge 2, \quad s \ge 1).$  From this fact and Lemma 3.2, we can show that  $\overline{T_1(ks(ks-1)/2+1)} \notin \mathscr{L}[\mathsf{ASNk}\mathsf{-HFA}(s)].$  This completes the proof of the theorem.

Q.E.D.

**Lemma 3.3** For each  $r \ge 2$  and each  $i(1 \le i \le r(r+1)/2)$ ,  $T_2(r, i) = \{w_1 2 w_2 2 \cdots 2 w_p | p = r(r+1) \& i(1 \le i \le p) \}$   $[w_i \in \{0, 1\}^* \& w_i = w_{p+1-i}]\}$  and  $T_2(r) = \{w_1 2 w_2 2 \cdots 2 w_p | p = r(r+1) \& \forall i(1 \le i \le p) [w_i \in \{0, 1\}^* \& w_i = w_{p+1-i}]\}$ . Then,

- (1) for each  $r \ge 2$  and each  $i(1 \le i \le r(r+1)/2)$ ,  $T_2(r, i) \in \mathcal{L}[D2\text{-HFA}]$  and
- (2)  $T_2(ks, 1) \cap T_2(ks, 2) \cap \cdots \cap T_2(ks, (ks+1)ks/2) = T_2((ks+1)ks/2) \notin \mathcal{L}[ASNk-HFA(s)] \qquad (k \ge 2, s \ge 1).$

Proof. (1): Obvious.

(2): It has been shown [3] that  $T_2((k+1)k/2) \in \mathcal{L}[NSNk-HFA]$ . From this fact and Theorem 4.4 [1] (see above), we can obtained the above lemma.

Q.E.D.

We shall formulate two sufficient conditions for a language L to be in  $\mathcal{L}[USNk-HFA(s)]$  for  $k \ge 2$  and  $s \ge 1$ . For each input x given to an alternating automaton with only universal states, there exists only one computation tree corresponding to the input x. Thus, informally, an alternating automaton with only universal states can be considered as a 'deterministic parallel machine.' We shall need the following languages for an arbitrary natural number f.

$$C_f(n) = \{ucw_1 cw_2 c \cdots cw_f cw_f c \cdots cw_2 cw_1 | |u| = |w_i| = n$$
  
&  $u, w_i \in \{a, b\}^*$  for each  $i(1 \le i \le f)\};^3$ 

 $D_f(n) = \{v_1 dv_1 d | v_1 \in C_f(n)\};$ 

$$E_f(n) = \{ucw_1cw_2c\cdots cw_fcw_{f+1}c\cdots cw_{2f-1}cw_{2f} | |u|$$
  
=  $|w_i| = n \& u, w_i \in \{a, b\}^* \text{ for each } i(1 \le i \le 2f) \&$ 

 $<sup>\</sup>lceil r \rceil$  means the smallest integer greater than or equal to r.

 $<sup>{}^{2}</sup>B(i)$  denotes the binary representation of i.

<sup>&</sup>lt;sup>3</sup>For any word w, |w| denotes the length of w.

$$\exists j (1 \le j \le f) [w_j \ne w_{2j+1-j}] \} \text{ and}$$

 $F_f(n) = \{v_1 c w_1 c w_2 c \cdots c w_f c w_f c \cdots$ 

 $cw_2cw_1dv_2cw_1cw_2c\cdots cw_fcw_fc\cdots cw_2cw_1d||v_1|$ =  $|v_2| = |w_i| = n \& v_1, v_2, w_i \in \{a, b\}^*$  for each  $i(1 \le i \le f)$  and  $v_1 \ne v_2\}$ .

Let  $C_f = \bigcup_{1 \le n < \infty} C_f(n)$ ;  $D_f = \bigcup_{1 \le n < \infty} D_f(n)$ ;  $E_f = \bigcup_{1 \le n < \infty} E_f(n)$  and  $F_f = \bigcup_{1 \le n < \infty} F_f(n)$  for arbitrary  $f = 1, 2, 3, \ldots$ 

**Lemma 3.4** Let L be an arbitrary set fulfilling the following conditions:

- (1)  $L \supseteq C_f \cup D_f$
- (2)  $L \cap (E_f \cup F_f) = \phi$ .

Let f = k(k-1)s/2, where  $k \ge 2$  and  $s \ge 1$ . Then L is not in  $\mathcal{L}[USNk-HFA(s)]$ .

Proof. The proof is an extension of the proof on Theorem 1 in Hromkovic [4]. Let us assume that there exists a USNk-HFA(s') which recognizes a set L satisfying (1) and (2) where  $1 \le s' \le s$ . We need the following notations:

A configuration of M working on the input word w is a (k+1)-tuple  $(q, i_1, i_2, \ldots, i_k)$ , where q is the state of the finite state control and  $i_j$  is the position of the j-th head on the input word w.

A prominent configuration is a configuration of the computation tree on the input word x in  $C_i \cup D_j \cup E_j \cup F_j$ , from which M moves one of its heads on the symbol c, d, or \$.

The subsequence of prominent configuration of the j-th path of the computation tree on the word x is called a j-pattern of x (denoted by  $P_i(x)$ ).

For each word x given to USNk-HFA(s') M, we let  $(P_1(x), P_2(x), \ldots, P_{s'}(x))$  denote the pattern of M.

Let M be a USNk-HFA(s') with t states that recognizes a set L satisfying (1) and (2). We shall consider the initial part of computation tree of M on the word y in  $C_f(n)\cup D_f(n)$ , which begins in the initial configuration and ends in a prominent configuration, in which one of the heads had read the whole subword

 $y_1 = ucw_1cw_2c\cdots cw_fcw_fc\cdots cw_2cw_1$  of the input word y (see Fig. 1). (In other words, the initial part of the computation tree is the part that is the same for words  $y_1$  and  $y_1dy_1d$ , because M does not know whether it is working on the word  $y_1$  in  $C_f(n)$  or on the word  $y_1dy_1d$  in  $D_f(n)$ .)

Now, let us consider the number of all patterns of the initial part of the computation tree on the word y in  $C_f(n) \cup D_f(n)$ , which number we denote p(n). If we note that  $|y_1| = k(k-1)s(n+1) + n$ , we can easily see that the number of all configurations on word  $y_1$  is bounded by

$$t[(k(k-1)s+1)(n+1)]^k$$
.

Thus we obtain the following inequality:

$$p(n) \leq [[t[(k(k-1)s+1)(n+1)]^k]^{k((k-1)ks+1)}]^s,$$

because the leaf-size of the computation tree is bounded by  $s (\ge 1)$ , and for each  $j (1 \le j \le s)$ , no j-pattern of the initial part of the computation tree can consist of more than k((k-1)ks+1) prominent configurations, as shown in Fig. 2.

Since the number of all words  $y_1$  from  $C_f(n)$  is

$$2^{[(k-1)ks/2+1]n}$$

there exists a pattern  $\sigma$  of the initial part of the computation tree such that at least

$$2^{[(k-1)ks/2+1]n}/p(n)$$

different words  $y_1$  from  $C_f(n)$  have the same pattern  $\sigma$  as the initial part of the computation tree.

Now we distinguish the following two cases according to the last prominent configuration  $(q, i_1, i_2, \ldots, i_k)$  of each path of the pattern  $\sigma$ .

- (1)  $i_j > n$  for all j in  $\{1, 2, ..., k\}$ , that is, all heads have read the initial subword  $u \in \{a, b\}^*$ .
- (2) There exists some j in  $\{1, 2, ..., k\}$  such that  $i_j \le n$ , that is, at least one head has not read the initial subword  $u \in \{a, b\}^*$ . We shall show below that both (1)

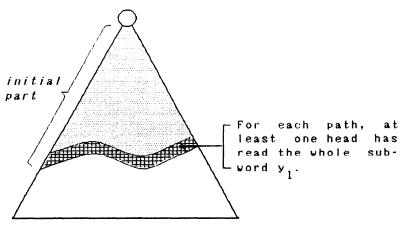


Fig. 1 Initial part of the computation tree on the word y in  $C_f(n) \cup D_f(n)$ .

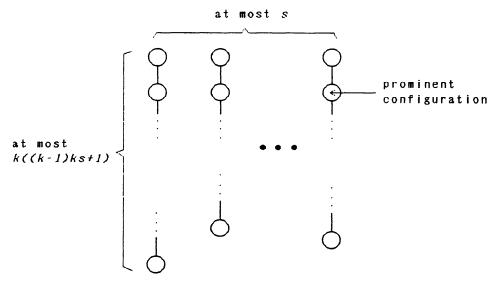


Fig. 2 Pattern of initial part of computation tree on y in  $C(n) \cup D(n)$ .

and (2) lead to contradictions.

(1) In this case we shall consider input words  $y_1 dy_1 d$  in  $D_f(n)$ , where  $y_1$  has the pattern  $\sigma$ . Noting that there exist at least  $2^{((k-1)ks/2+1)n}/p(n)$  different words  $y_1$  with the pattern  $\sigma$ , we see that there exist at least

$$m = [2^{((k-1)ks/2+1)n}/p(n)] \cdot [1/2^{(k-1)ks/2}]$$

different words  $y_1$  with the pattern  $\sigma$  differing from each other only in the initial subword u; that is, there exist at least m words  $y_1 = ucx$ , where  $x = w_1 cw_2 c \cdots cw_j cw_j c \cdots cw_2 cw_1$  is fixed, with the pattern  $\sigma$ .

It is obvious that  $m=2^n/p(n)$  is greater than 2 for a sufficiently large value of n, since p(n) is bounded by a polynomial. This means that for a sufficiently large n there exist two words from  $C_f(n)$   $v_1=u_1cx$  and  $v_2=u_2cx$ , where  $u_1\neq u_2$ , with the same pattern  $\sigma$  as the initial part of the computation tree. Since M accepts the word  $y=v_1dv_1d=u_1cxdu_1cxd$  in  $D_f(n)$  and the state set of M consists of only universal states, it follows that M must also accept the word  $y'=u_2cxdu_1cxd$ , which clearly belongs to  $F_f(n)$ .

(2) We shall consider the input word y in  $C_f(n)$  in this case. Let us consider all accepting computation trees on all

$$2^{(k(k-1)s/2+1)n}/p(n)$$

different words  $y=y_1$  in  $C_f(n)$  that have the same pattern  $\sigma$  as the initial part of the computation tree.

Let p'(n) be the number of all possible patterns of accepting computation trees on words y in  $C_f(b)$ . We obtain the following inequality,

$$p'(n) \leq [[t[(k(k-1)s+1)(n+1)]^k]^{k((k-1)ks+1}]^s.$$

From this fact it follows that there exist at least

$$2^{(k(k-1)s/2+1)n}/p'(n)$$

different words y in  $C_f(n)$  with the same pattern  $\sigma'$  containing the pattern  $\sigma$  as an initial subsequence.

From Lemma 3.1 and assumption (2), we can see that for each input word in  $C_f(n)$ , there must be an  $i_0$  such that both subwords  $w_i$  of the words  $y=ucw_1cw_2c\cdots cw_{i_0}c\cdots cw_fcw_fc\cdots cw_{i_0}c\cdots cw_2cw_1$  are never read by any two heads at the same time. This means that there exist at least

$$m = [2^{(f+1)n}/p'(n)] \cdot [1/2^{(f-1)n}2^n] = 2^n/p'(n)$$

different words in  $C_f(n)$  that have the same pattern  $\sigma'$ , which differ from each other only in the subword  $w_{in}$ .

It can be seen that  $m \ge 2$  for a sufficiently large value of n, and so there exist two words in  $C_r(n)$ 

$$v_1 = ucw_1cw_2c\cdots cw_{i_0}c\cdots cw_fcw_fc\cdots cw_{i_0}c\cdots cw_2cw_1$$
  
$$v_2 = ucw_1cw_2c\cdots cw_fc\cdots cw_fcw_fc\cdots cw_fc\cdots cw_2cw_1$$

with the same pattern  $\sigma'$  as in the accepting computation tree, where  $w_{i_0} \neq w'_{i_0}$ .

By an argument similar to that in the proofs of Theorem 1 in Yao and Rivest [3] and Theorem 1 in Hromkovic [4], it can be shown that M must also accept the word

$$y' = ucw_1cw_2c\cdots cw_{i_0}c\cdots cw_fcw_fc\cdots cw'_{i_0}c\cdots cw_2cw_1,$$

which belongs to  $E_f(n)$ . This is a contradiction.

Q.E.D.

**Lemma 3.5** Let L be an arbitrary set fulfilling the following conditions:

- $(3) \quad L \supseteq \{e\} \cdot C_f \cup \{e\} \cdot D_f$
- $(4) L \cap (\{e\} \cdot E \cup \{e\} \cdot E_f) = \phi.$

Let f = k(k-1)s/2, where  $k \ge 2$  and  $s \ge 1$ . Then the set L

is not in  $\mathcal{L}$  [USNk-HFA(s)].

Proof. It is an easy matter to show that if there exists a set L satisfying the conditions of Lemma 3.4 such that  $L \in \mathcal{L}[\text{USNk-HFA}(s)]$ , then there exists a set L' fulfilling the conditions of Lemma 3.4 such that  $L' \in \mathcal{L}[\text{USNk-HFA}(s)]$ .

Q.E.E

The following theorem can be directly obtained from Lemma 3.3 above:

**Theorem 3.2** For each  $k \ge 2$  and  $s \ge 1$ ,  $\mathcal{L}[Ak-HFA(s)]$  and  $\mathcal{L}[ASNk-HFA(s)]$  are not closed under intersection.

**Theorem 3.3** For each  $k \ge 2$  and  $s \ge 1$ ,  $\mathcal{L}[Uk-HFA(s)]$  and  $\mathcal{L}[USNk-HFA(s)]$  are not closed under the following operations:

- (1) Intersection
- (2) Concatenation
- (3) Reversal
- (4) Kleene closure
- (5) Union
- (6)  $\varepsilon$ -free homomorphism.

Proof. (1): Obvious from Lemma 3.3.

(2): Let us consider the following languages:

 $L_1 = \{a, b\} * c \cup \{\varepsilon\},$ 

 $L_2 = \{ \text{udud} \mid u \in \{a, b, c\}^* \} \cup \{\varepsilon\},$ 

 $G_f = \{ w_1 c w_2 c \cdots c w_f c w_f c \cdots c w_2 c w_1 | w_i \in \{a, b\}^* \text{ for } 1 \le i \le f \} \cup \{\varepsilon\} \text{ for } i = 1, 2, 3, \dots$ 

Clearly,  $L_1 \in \mathcal{L}[D1\text{-HFA}]$ ,  $L_2 \in \mathcal{L}[D2\text{-HFA}]$ , and  $G_f \in \mathcal{L}[Uk\text{-HFA}(s)]$  for  $f \leq k(k-1)s/2$ . On the other hand, by an argument similar to that in the proof of Theorem 2 in Hromkovič [4], we can see that the set  $L_1L_2G_{k(k-1)s/2}$  is not in  $\mathcal{L}[USNk\text{-HFA}(s)]$ .

- (3): The set  $L_2 \cup \{a, b\}^* cG_{(k-1)ks/2}$  does not belong to  $\mathcal{L}[\text{USNk-HFA}(s)]$ , since it fulfills the conditions of Lemma 3.4, but  $L_2^R \cup \{a, b\}^* cG_{(k-1)ks/2}$  belongs to  $\mathcal{L}[\text{Uk-HFA}(s)]$ .
- (4): Let us consider the set  $L_3 = \{e\} \cdot L_2 \cup \{a, b\}^* cG_{(k-1)ks/2} \cup \{e\}$ , which belongs to  $\mathcal{L}[Uk-HFA(s)]$ . By an argument similar to that in the proof of Theorem 4 in Hromkovič [4], we can see that  $L_3^*$  satisfies conditions (3) and (4) of Lemma 3.5, which implies that  $L_3^*$  is not in  $\mathcal{L}[USNk-HFA(s)]$ .
- (5): It can easily be seen that the set  $L_2$  and  $\{a, b\}^*cG_{(k-1)ks/2}$  belongs to  $\mathcal{L}[\text{Uk-HFA}(s)]$  for each  $k \ge 2$  and  $s \ge 1$  and that the set  $L_2 \cup \{a, b\}^*cG_{(k-1)ks/2}$  fulfills the conditions of Lemma 3.4.
- (6): Clearly, the set  $L_4 = \{e\} \cdot L_2 \cup \{g\} \cdot \{a, b\}^*$   $cG_{(k-1)ks/2}$  belongs to  $\mathcal{L}[(Uk-HFA/s)]$  for each  $k \ge 2$  and  $s \ge 1$ . Let us define an  $\varepsilon$ -free homomorphism h as follows: h(e) = h(g) = e, h(a) = a, h(b) = b, h(c) = c, h(d) = d. Then  $T(L_4)$  satisfies conditions (3) and (4) of Lemma 3.5.

When leaf-size is not restricted, the following result holds:

**Theorem 3.4** For each  $k \ge 2$ ,  $\mathcal{L}[Uk\text{-}HFA]$  and  $\mathcal{L}[USNk\text{-}HFA]$  are not closed under complementation.

Proof. Let us suppose that  $\mathcal{L}[Uk-HFA]$  is closed under complementation. From Theorem 1 in Sakurayama et al. [5], we find that  $\mathcal{L}[Uk-HFA] = co - \mathcal{L}[Nk-HFA]$  for  $k \ge 1$ . It follows that for some set L, if  $L \in \mathcal{L}[Nk-HFA]$ , then  $\bar{L} \in \mathcal{L}[Uk-HFA]$ , and  $\bar{L} = L \in \mathcal{L}[Uk-HFA]$  from the assumption above. Thus,  $\mathcal{L}[Nk-HFA] \subseteq \mathcal{L}[Uk-HFA]$ . On the other hand, from Corollary 3 (3) in Sakurayama et al. [5], we can show that  $\mathcal{L}[Uk-HFA]$  is not comparable with  $\mathcal{L}[Nk-HFA]$  for each  $k \ge 2$ . This is a contradiction. The case of  $\mathcal{L}[USNk-HFA]$  is proved by using a similar argument to the one above.

Q.E.D.

## 4. Simple Multihead Finite Automata

The closure properties under Boolean operations of ASPMHFAs are given in Matsuno et al. [6]. In this section, we first summarize the closure properties under Boolean operations of ASPMHFACLs derived from those results. The following theorem is obvious:

**Theorem 4.1** For each  $Y \in \{SP, SNSP\}$ ,  $k \ge 1$ , and  $s \ge 1$ ,  $\mathcal{L}[AYk-HFA(s)]$  is closed under union.

**Theorem 4.2** For each  $Y \in \{SP, SNSP\}$ ,  $k \ge 1$ , and  $s \ge 1$ ,  $\mathcal{L}[UYk\text{-}HFA(s)]$  is not closed under union.

Proof. The proof can be found from Lemma 6.5 in Matsuno *et al.* [6].

Q.E.D

**Theorem 4.3** For each  $X \in \{A, U\}$ ,  $Y \in \{SP, SNSP\}$ ,  $k \ge 2$ , and  $s \ge 1$ ,  $\mathcal{L}[XYk\text{-}HFA(s)]$  is not closed under complementation and intersection.

Proof. The proof can be found from Lemmas 6.2, 6.3, and 6.4 in Matsuno et al. [6].

Q.E.D.

We next investigate the closure properties of ASPMHFA with only universal states under operations of concatenation, Kleene closure, reversal, and  $\varepsilon$ -free homomorphisms.

**Lemma 4.1** Let  $T_3 = \{x \in \{0, 1\}^+ | (|x| \ge 3) \& (|x| \text{ is odd}) \& \text{ (the center symbol in } x \text{ is '1'} \} and <math>T_4 = \{a\}^*$ . Then,

- (1)  $T_3$ ,  $T_4$ ,  $T_4T_3 \in \mathcal{L}[DSP2-HFA]$
- (2)  $T_3T_4 = (T_4T_3)^R \notin \mathcal{L}[USNSPk-HFA(s)].$

Proof. (1): Obvious.

(2): Let  $T_5 = T_3T_4$ . Suppose that there exists a USNSPk-HFA(s) M which accepts  $T_5$ . Let u be the number of states (of the finite control) of M, and let R be the reading head of M. For each  $n \ge 1$ , let

$$V(n) = \{0^n w 0^n a^{r_2} | (w \in \{0, 1\}^*) \& (|w| = n) \& (r_1, r_2 \ge 1) \\ \& (r_1 + r_2 = 2n)\}.$$

For each  $x=0^n w 0^n a^{r_2}$  in V(n), let SC(z) be the multi-set of semi-configurations<sup>4</sup> of M as follows:

$$SC(z) = \{(q, i_1, i_2, \ldots, i_{k-1}) | c = (z, 2n+1, (q, i_1, i_2, \ldots, n_k)) \}$$

<sup>&</sup>lt;sup>4</sup>Semi-configuration of M is a k-tuple  $(q, i_1, i_2, \ldots, i_{k-1})$  where q is a state of finite control of M and  $i_j$   $(1 \le j \le k-1)$  is the position of the j-th counting head.

 $i_{k-1}$ )) is a configuration of M just after the point where R reads the initial segment  $0^n w$  of  $\{z\}$ .

Then, the following proposition must hold:

**Proposition 4.1** For any two words z and z' in V(n) whose initial segments  $0^n w' s$  (of length 2n) are different,  $SC(z) \neq SC(z')$ .

(For the apposite case, suppose that  $z=0^nw0^ra^{r_2}$ ,  $z'=0^nw'0^ra^{r_2}$  ( $w\neq w'$ ), and SC(z)=SC(z'). Let  $w=w_11w_2$ ,  $w'=w_10w_2$  ( $|w_1|=|w_2|=t$ :  $0\leq t\leq n-1$ ). We then consider the two words  $z=0^nw_11w_20^pa^r$  and  $z_1=0^nw_10w_20^pa^r$  ( $p=n+t-|w_2|$ , r=2(n-t)-1) in V(n). Clearly,  $z\in T_5$ , and so z is accepted by M. It follows that  $z_1$  must be also accepted by M. This contradicts the fact that  $z_1$  is not in  $T_5$ .)

Clearly  $t(n) < u(4n+2)^{k-1}$ , where t(n) is the number of possible semi-configurations of M just after the point where R reads the initial segments  $0^n w' s$  (of length 2n) of words in V(n). For each z in V(n), the leaf-size of the computation tree of M on z is at most  $s(\ge 1)$ . Thus, for each z in V(n),  $|SC(z)| \le s$ . Therefore, letting  $S(n) = \{SC(z) | z \in V(n)\}$ , it follows that for some constants c and c'

$$|S(n)| < ct(n)^{s} < c' n^{(k-1)s}$$
.

Cleardy,  $|V(n)| = 2^n$ . From these facts, it follows that for large n, |S(n)| < |V(n)|. Therefore for large n, there must be two words z and z' in V(n) whose initial segments  $0^n w's$  are different such that SC(z) = SC(z'). This contradicts Proposition 4.1.

Q.E.D

**Theorem 4.5** For each  $k \ge 2$ ,  $Y \in \{SP, SNSP\}$ ,  $\mathcal{L}[UYk\text{-}HFA(s)]$  is not closed under the following operations:

- (1) Concatenation with a regular set
- (2) Reversal
- (3) Kleene closure
- (4)  $\varepsilon$ -free homomorphism.

Proof. (1), (2): Obvious from Lemma 4.1.

(3): Let  $T_6 = T_3 \cup T_4$ . It is easy to see that  $T_6 \in \mathcal{L}[DSP2-HFA]$ . On the other hand,  $T_6 \cap (\{0, 1\}^+ \{a\}^*) = T_3 T_4 \notin \bigcup_{s \in S} \cup \mathcal{L}[USNSPk-HFA(s)]$  (from Lemma 4.1). It follows from this fact and the fact that [USNSPk-HFA(s)] is closed under union with a regular set (which is easy to prove) that  $T_6 \notin \bigcup_{s \neq s} \cup \bigcup_{s \neq s} \mathcal{L}[USNSPk-HFA(s)]$ . This completes the proof of (3).

(4): Let  $T_3' = \{x \in \{0, 1\}^+ \mid (|x| \ge 3) \& (|x| \text{ is odd}) \& (x \text{ has exactly one '2' as the center symbol of } x\}$ . Then it is readily proved that  $T_3'T_3 \in \mathcal{L}[DSP2-HFA]$ . On the other hand, let h be the  $\varepsilon$ -free homomorphism defined by h(0)=0, h(1)=1, h(2)=1, and h(a)=a. Then  $h(T_3'T_3)=T_3T_3\notin\bigcup_{1\le k<\infty}\bigcup_{n\le k}\mathcal{L}[USNSPk-HFA(s)]$   $(s\ge 1)$ . This completes the proof of (4).

Q.E.D.

**Theorem 4.6** For each  $k \ge 2$  and  $s \ge 1$ ,  $\mathcal{L}[ASPk-HFA(s)]$  is not closed under concatenation.

*Proof.* For each  $l \ge 2$ , let  $L_l = \{a^nb^n | n \ge 1\}^l$ . The set  $L_{k-1}$  is accepted by NSPk-HFA M', which acts as follows:

Let R be the reading head of M' and  $C_1, C_2, \ldots, C_{k-1}$  be the counting heads of M'. Suppose that an input  $a^{n_1}b^{m_1}a^{n_2}b^{m_2}\cdots a^{n_{k-1}}b^{m_{k-1}}$ \$ is presented to M'.

For each  $i(1 \le i \le k-1)$ , M moves  $C_i$  on the left end of subword  $a^{n_i}$  nondeterministically. Then, for each  $i(1 \le i \le k-1)$ , M moves  $C_i$  two cells to the right for every one right move of R on the subword  $b^{m_i}$ .  $C_1$ ,  $C_2$ , ...,  $C_{i-1}$  are moved one cell to the right simultaneously with R, and  $C_{i+1}$ ,  $C_{i+2}$ , ...,  $C_{k-1}$  remain stationary at the left ends of subwords  $a^{n_{i+1}}$ ,  $a^{n_{i+2}}$ , ...,  $a^{n_{k-1}}$ , respectively. Moving each head in this way, M accepts the input if and only if all heads reach the right endmarker S at the same time, it is obvious that  $T(M) = L_{k-1}$ .

If it can be shown that  $L_{((k-1)s+2)((k-1)s+1)s} \notin [ASPk-HFA(s)]$ , then we have completed the proof of the theorem. The proof is an extension of Theorem 1 in [7].

For the apposite case let us suppose that there exists an ASPk-HFA(s) M ( $k \ge 2$ ,  $s \ge 1$ ) accepting  $L_{((k-1)s+2)((k-1)s+1)s}$ , which has m states. (Without loss of generality we assume that the input tape of M has no left endmarker.) For each input word w in  $L_{((k-1)s+2)((k-1)s+1)s}$ , there exists an accepting computation tree of M denoted by  $T_M(w)$ . We divide input word w into s subwords. That is,

$$w = w_1 w_2 \cdots w_s$$
.

Without loss of generality, we assume that each node of  $T_M(w)$  that is labeled by a configuration with a universal state has exactly two children. Then, because of the bounded leaf-size s, there are at most s-1 nodes labeled by configurations with a universal state in  $T_M(w)$ . From this fact and the fact that word w has s subwords  $w_i$ 's, there is a subword  $w_i$  in the word w such that on each computation path of  $T_M(w)$ , there is a sequence of steps which implies that M never enters a universal state while reading the subword  $w_i$ . We let such subword  $w_i$  be  $w_j$ , let  $e(1 \le e \le s)$  be the number of sequences of steps during M reads the subword  $w_j$ , and let S(1), S(2), ..., S(e) be these e sequences of steps.

Let the subword  $w_i(1 \le i \le s)$  be as follows:

$$w_i = y_1 y_2 \cdots y_{(k-1)s+1} \ (1 \le i \le s)$$
  

$$y_i = y = x_1 x_2 \cdots x_{(k-1)e+2} \ (1 \le i \le (k-1)s+1)$$
  

$$x_i = x = a^n b^n \ (1 \le i \le (k-1)e+2).$$

For each  $i(1 \le i \le e)$  and each  $j(1 \le j \le (k-1)s+1)$ , let  $N_i(j)$  be the number of counting heads that reach the right endmarker S while the reading head R reads the  $y_j$  in  $w_j$ , in the i-th sequence S(i) of  $T_M(w)$ . Since M has only (k-1) counting heads and leaf-size s, it follows that  $N_1(j_0) = N_2(j_0) = \cdots = N_e(j_0) = 0$  for some

<sup>&</sup>lt;sup>5</sup>For any set S, |S| denotes the number of elements of S.

 $<sup>^6</sup>$ A configuration of M on w is a (k+1)-tuple of a state of finite control and k head positions.

 $j_0(1 \le j_0 \le (k-1)e+2).$ 

Consider the case when in  $T_M(w)$  R reads the subword  $y_{j_0}$  such that  $N_1(j_0) = N_2(j_0) = \cdots = N_e(j_0) = 0$ . We select an arbitrary number  $i_0$   $(1 \le i_0 \le (k-1)e + 2)$  and let

$$x_{i_0} = a_{i_0 1} a_{i_0 2} \cdots a_{i_0 n} b^n \ (a_{i_0 j} = a, \ 1 \le j \le n).$$

For each  $j(1 \le j \le e)$  and each symbol  $a_{i_0 l}$ , let  $q_{i_0 l}$  be the state of M when R moves onto  $a_{i_0 l}$  on the j-th sequence S(j). For each symbol  $a_{i_0 l}$ , we consider the e-tuple of states as follows:

$$(q_{i_0}^1, q_{i_0}^2, \ldots, q_{i_0}^e) = Q_{i_0}^I$$

We call  $Q_{i,l}$  above a multi-state of M.

A *j*-configuration of M is a (k+1)-tuple  $(q_i^j, b_{j1}^i, \ldots, b_{jk}^i)$  (denoted by  $c_i$ ), where  $q_i^i$  is the state of finite control of M and  $b_{jl}^i$  is the position of the l-th head in S(j). A *j*-increment is a (k+1)-tuple  $(q_i^j, h_{j1}^i, \ldots, h_{jk}^i)$ , where  $q_i^j$  is the state of finite control of M and each  $h_{jk}^i$  is either 0 or 1. (Informally, the j-increment describes moving the heads at one step of computation in the j-th sequence S(j).) Let  $c_j^i$ ,  $c_{j+1}^i$ , ...,  $c_g^i$  be the subsequence of S(j), where  $c_j^i$  is a j-configuration when R reads the symbol  $a_{i_0}$  and  $c_g^i$  is a j-configuration when R reads the symbol  $a_{i_0}$  and  $c_g^i$  is a j-configuration when R reads the symbol  $a_{i_0}$  of  $x_{i_0} = a_{i_0} a_{i_0} \cdots a_{i_0} b^n$ . We say that the sequence of j-increments  $a_j^i$ ,  $a_j^i$ ,  $a_j^i$ ,  $a_j^i$ ,  $a_j^i$ , where

$$d_i^j = (q_i^j, b_{j1}^i - b_{j1}^i, \dots, b_{jk}^i - b_{jk}^i)$$
  
if  $c_i^j = (q_i^j, b_{j1}^i, \dots, b_{jk}^i)$  and  $c_{j+1}^i = (q_{j+1}^i, b_{j+1}^i, \dots, b_{jk}^{i+1})$ 

for each i ( $1 \le i \le g-1$ ), is the sequence of j-increments of  $c_j^j$ ,  $c_{j+1}^j$ , . . . ,  $c_g^j$ . Figure 3 summarizes the relationship between j-configurations and j-increments in each path S(j).

We let

$$d_{f_e}^e, d_{f_e+1}^e, \ldots, d_{g_{e-1}}^e$$

where  $d_{f_j}^j$ ,  $d_{f_j+1}^j$ , ...,  $d_{g_j-1}^j$  is a subsequence of j-increments in S(j) and  $d_{f_j}^j$   $(d_{g_j-1}^j)$  is the j-increment when M reads the symbol  $a_{i,1}$   $(a_{i,n})$ . Let

$$d_{\alpha_{i}}^{1}, d_{\alpha_{i}+1}^{1}, \ldots, d_{\beta_{i}}^{1}$$

$$d_{\alpha_{i}}^{2}, d_{\alpha_{i}+1}^{2}, \ldots, d_{\beta_{i}}^{2}$$

$$\vdots$$

$$(f_{i} \leq \alpha_{i} < \beta_{i} \leq g_{i-1}$$

$$\vdots \forall i (1 \leq i \leq e))$$

$$d_{\alpha_{i}}^{e}, d_{\alpha_{i}+1}^{e}, \ldots, d_{\beta_{i}}^{e}$$

be a subsequence of (1), and be denoted by *segment*. If the length of  $d_{\alpha_3}^3, \ldots, d_{\beta_3}^3$  is the shortest of any  $d'_{\alpha_1}, \ldots, d'_{\beta_i}$  then let the length of  $d^3_{\alpha_3}, \ldots, d^3_{\beta_3}$  be the *length of the segment*.

For each symbol  $a_{i_0l_1}(a_{i_0l_2})(l_1 < l_2)$ , let  $d^j_{l_{i_l}}(d^j_{i_{2^l}})$  be the j-increment when M reads symbol  $a_{i_0l_1}(a_{i_0l_2})$ , and let  $Q_{i_0l_1} = Q_{i_0l_2}$ . Then we say that the segment

$$\begin{aligned} d_{l_{11}}^{\ell}, d_{l_{11}+1}^{\ell}, \dots, d_{l_{21}}^{\ell} \\ d_{l_{12}}^{2}, d_{l_{12}+1}^{2}, \dots, d_{l_{22}}^{2} \\ & \cdot \\ & \cdot \\ & \cdot \\ d_{l_{12}}^{\ell}, d_{l_{12}+1}^{\ell}, \dots, d_{l_{2n}}^{\ell} \end{aligned} \qquad (f \leq l_{1j} < l_{2j} \leq g-1 \\ & \cdot \\ \forall i (1 \leq i \leq e))$$

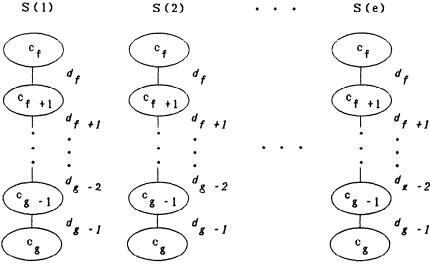


Fig. 3 J-configurations and  $\dot{J}$ -increments.

is a *Q-cycle*. Furthermore, we say the following (k-1) e+1-tuple

$$\begin{pmatrix}
\sum_{l=I_{11}}^{l_{21}} h'_{11}, \sum_{l=I_{11}}^{l_{21}} h'_{12}, \dots, \sum_{l=I_{11}}^{l_{21}} h'_{1k}, \\
\sum_{l=I_{12}}^{l_{22}} h'_{22}, \sum_{l=I_{12}}^{l_{22}} h'_{23}, \dots, \sum_{l=I_{12}}^{l_{22}} h'_{2k}, \dots, \\
\sum_{l=I_{1c}}^{l_{2c}} h'_{c2}, \sum_{l=I_{1c}}^{l_{2c}} h'_{c3}, \dots, \sum_{l=I_{1c}}^{l_{2c}} h'_{ck}
\end{pmatrix}$$

is a parameter of this Q-cycle.

**Fact 4.1** If (1) can be written in the form  $s_1$ ,  $p_1$ ,  $s_2$ ,  $p_2$ ,  $s_3$ , where  $s_1$ ,  $s_2$ ,  $s_3$  are the segments and  $p_1$ ,  $p_2$  are the Q-cycle (for some multi-state Q), then there is an accepting computation tree of M that is constructed by replacing  $s_1$ ,  $p_1$ ,  $s_2$ ,  $p_2$ ,  $s_3$  of  $T_M(w)$  by  $s_1$ ,  $p_1$ ,  $p_2$ ,  $s_2$ ,  $s_3$  (see Fig. 4).

Since every segment with a length of at least  $m^e+1$  contains a Q-cycle, from Fact 4.1, we have the following:

Fact 4.2 There is a permutation of (1) which can be written in the form

$$s_1, p_1, s_2, p_2, \ldots, s_r, p_r, s_{r+1},$$
 (2)

where  $r \le m^e$ , each  $s_i$  is a segment with length at most  $m^e$ , each  $p_i$  can be written in the form  $p_i = p_1^1, p_1^2, \ldots, p_i^l$ , where each  $p_i^l$  is a Q-cycle with length at most  $m^e$  and there is an accepting computation tree of M on w that is constructed by replacing (1) of  $T_M(w)$  by  $s_1, p_1, s_2, p_2, \ldots, s_r, p_r, s_{r+1}$ .

**Fact 4.3** Let  $p_i$ 's be the Q-cycles from Fact 4.2. For (2), there is a parameter  $v = (v_1, v_2, \ldots, v_{(k-1)e+1})$  with  $v_1 > 0$  and  $0 \le v_i \le m^e$  for each  $i(1 \le i \le (k-1)e+1)$ , such that the number of Q-cycles  $p_i^i$  with parameter v is at least  $(n - (m^e + 1)m^e)/(m^e(m^e + 1)^{(k-1)e+1})$ .

Proof. Since the reading head crosses the  $i_0$ -th subword  $a^n$  of word  $y_{j_0}$  during the part of the computation corresponding to (2), there are n increments (in (2)) in which the reading head is moved to the right. Clearly, at least n- $(m^e+1)m^e$  increments from these n increments are contained in the cycles  $p_j^i$ , because  $r \le m^e$  and the

length of each  $s_i$  is at most  $m^e$  (see Fact 4.2). This implies that the number of Q-cycles  $p_i^l$  with parameters whose first component is greater than zero is at least  $(n-(m^e+1)m^e)/m^e$ . Since the number of all different parameters, for the cycles with length at most  $m^e$ , is at most  $(m^e+1)^{(k-1)e+1}$ , there is a parameter v such that the number of cycles  $p_i^l$  with parameter v is at least  $(n-(m^e+1)m^e)/(m^e(m^e+1)^{(k-1)e+1})$ .

Since the number  $i_0$   $(1 \le i_0 \le (k-1)e+2)$  was selected arbitrarily, from Fact 4.3, we find that there is an accepting computation tree of M on w with the sequence

$$u_1, z_1, u_2, z_2, \ldots, u_{(k-1)e+2}, z_{(k-1)e+2}, u_{(k-1)e+3}$$
 (3)

where, for each  $i(1 \le i \le (k-1)e+2)$ ,  $z_i$  is the segment corresponding to the part of this accepting computation tree at which the reading head reads the i-th subword  $a^n$  of word  $y_i$ ,  $z_i$  is of the form (2), and each  $u_i$  is a segment. Further, from Fact 4.3, there are parameters  $v^i = (v_i^i, v_2^i, \ldots, v_{(k-1)e+1}^i)$  for each  $i(1 \le i \le (k-1)e+2)$ , with  $v_1 > 0$  and  $0 \le v_i^i \le m^e$  for each  $i(1 \le i \le (k-1)e+2)$  and each  $i(1 \le j \le (k-1)e+1)$ , such that the number of cycles with parameter  $v_i$  is at least  $(n-(m^e+1)m^e)/(m^e(m^e+1)^{(k-1)e+1})$  in segment  $z_i$  for each  $i(1 \le i \le (k-1)e+2)$ . Clearly there are rational numbers  $r_1, r_2, \ldots, r_{(k-1)e+2}$  such that

$$\sum_{i}^{(k-1)e+2} r_i v^i = 0, \tag{4}$$

where

$$0 = (0, 0, \dots, 0)$$
 and  $r_i \neq 0$  for some  $i(1 \le i \le (k-1))$ 

because the vectors  $v^i \neq 0$  are linearly independent. Without loss of generality we can assume that the  $r_i$ 's in (4) are integers.

Let w be the word as above. Now we consider the word

$$w' = y_1 \cdot \cdot \cdot y_{y_0-1} y_{j_0} y_{j_0+1} \cdot \cdot \cdot y_{(k-1)s+1},$$

where

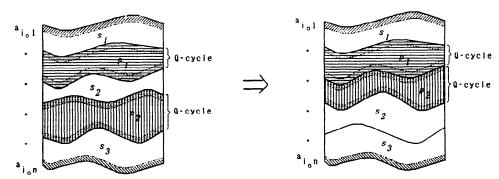


Fig. 5 State transition diagram of the LR(0) automaton in Fig. 4.

$$w = y_1 \cdots y_{(k-1)s+1},$$
  
 $y_{i_n} = a^n b^n a^n b^n \cdots a^{n_{(k-1)s+2}} b^n$  and  $n_i = n + r_i v_1^i$ 

for each  $i(1 \le i \le (k-1)e+2)$ . (Note that all  $n_i > 0$  when n is large enough.) Since  $r_i \ne 0$  for some i and  $v_i^i > 0$  for all i (see above), we find that  $n_i \ne n$  for some i, and therefore  $w' \notin L_{((k-1)e+1)((k-1)s+1)s}$ . On the other hand, from (3), (4) and

$$|y_{j_0}'| = 2((k-1)e+2)n + \sum_{i=1}^{(k-1)e+2} r_i v_i^i$$
  
= 2((k-1)e+2)n+0=|y\_{j\_0}|,

we know that there is an accepting computation tree of M on w' with the sequence  $u_1, z'_1, u_2, z'_2, \ldots, u_{(k-1)e+2}, z'_{(k-1)e+2}, u_{(k-1)e+3}$  where segment  $z_i$  is obtained by inserting (if  $r_i > 0$ ) or by deleting (if  $r_i < 0$ )  $r_i$  cycles with parameter  $v^i$  from segment  $z_i$ . Therefore, w' is accepted by M. This is a contradiction.

**Theorem 4.7** For  $k \ge 2$  and  $s \ge 1$ ,  $\mathcal{L}[ASPk-HFA(s)]$  and  $\bigcup_{1 \le r < \infty} \bigcup_{1 \le r < \infty} \mathcal{L}[ASPr-HFA(t)]$  is not closed under Kleene closure.

Proof. Let  $T_7 = \{a^nb^n \mid n \ge 1\}$ . It is easily seen that  $T_7 \in \mathcal{L}[DSP2-HFA]$ . On the other hand, it is shown in Lemma 4.4 in Matsuno *et al.* [1] that  $T_7^* \not\in \bigcup_{1 \le k < \infty} \bigcup_$ 

Q.E.D.

#### 5. Conclusions

The closure properties of AMHFACLs and ASPMHFACLs are summarized in Tables 1 and 2, respectively. It is easy to show that ordinary alternating automata (that is, state sets containing existential states) are closed under union. From these tables, we notice that A(SP)MHFACLs with only universal states are not closed for almost any operator dealt with in this paper.

Most of the results in Table 2 hold for semi-one-way alternating simple multihead finite automata with constant leaf-sizes. That is, 'no with superscript 2' indicates that the result is only valid for one-way.

In a sense, these tables are generalizations of nondeterministic and deterministic cases. That is, if we fix parameter s=1 in these tables (which implies that leaf-size is '1'), the symbols U and A in these tables indicate the deterministic and nondeterministic cases, respectively.

In this paper, we have investigated the closure properties of AMHFACLs and ASPMHFACLs, in some instances improving previously known results. An open

Table 1 Multihead Finite Automata.

	U	USN	Α	ASN
comple.	?1	?1	no	no
union	no	no	yes	yes
inter.	no	no	no	no
concate.	no	no	?	?
Kleene	no	no	?	?
revers.	no	no	?	?
ε-free	no	no	?	?

U:  $\mathscr{L}[Uk-HFA(s)]$ , USN:  $\mathscr{L}[USNk-HFA(s)]$ , A:  $\mathscr{L}[Ak-HFA(s)]$ , ASN:  $\mathscr{L}[ASNk-HFA(s)]$ , 1:  $\mathscr{L}[Uk-HFA]$  and  $\mathscr{L}[USNk-HFA]$  are not closed under complementation.

Table 2 Simple Multihead Finite Automata.

	USP	USNSP	ASP	ASNSP
comple.	no	no	no	no
union	no	no	yes	yes
inter.	no	no	no	no
concate.	no	no	no²	?
Kleene	no	no	no²	?
revers.	no	no	?	?
ε-free	no	no	?	?

USP:  $\mathcal{L}[USPk-HFA(s)]$ , ASP:  $\mathcal{L}[ASPk-HFA(s)]$ , USNSP:  $\mathcal{L}[USNSPk-HFA(s)]$ , ASNSP:  $\mathcal{L}[ASPSNk-HFA(s)]$ , 2: Only valid for one-way (not hold for semi-one-way).

problem is how to get the results at symbol '?' in these

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