

**A SUB-LATTICE MODEL FOR
THE INCOMMENSURATE TRANSITION
IN A_2BX_4 -TYPE CRYSTALS**

Hiroyuki MASHIYAMA^{a,*} and Hirotake SHIGEMATSU^b

^a *Department of Physics, Faculty of Science, Yamaguchi University,
Yamaguchi 753-8512, Japan*

^b *Department of Quantum Engineering, Graduate School of Engineering,
Nagoya University, Nagoya 464-8603, Japan*

(Received in final form November 24, 2001)

In order to describe a normal-incommensurate transition in A_2BX_4 -type crystals, a two-sublattice model is presented, which is modified from the discrete four-sublattice model proposed recently by Ishibashi and Janssen (J. Phys. Soc. Jpn. **69** (2000) 3870). The new model describes the softening of an optical phonon branch connected to an acoustic branch in the extended zone scheme. The dispersion relation of the soft mode in K_2SeO_4 can be reproduced by terms up to the second-neighbor interactions, although the third-neighbor interactions are usually considered to be necessary.

Keywords: two-sublattice model; soft mode; incommensurate transition; A_2BX_4 -type crystal

1. INTRODUCTION

The A_2BX_4 -type crystals are one of the typical system of the structurally incommensurate phase.^[1,2] Among them K_2SeO_4 is a prototype crystal and has been best studied so far.^[3] At room temperature it takes an orthorhombic system ($Pmcn$, $Z=4$). The optical phonon branch Λ_2 has a minimum around $q \sim 0.7c^*$ in the extended zone scheme. With decreasing temperature, the mode softens and freezes at a general point on the Λ_2 branch, stabilizing the incommensurate phase.^[3]

* Corresponding author, e-mail: mashi@yamaguchi-u.ac.jp

Other A_2BX_4 -type crystals have been investigated carefully whether they have the soft phonon mode at the normal-incommensurate transition. However, no underdamped soft mode has been recognized; only the critical increase of quasi-elastic scattering has been reported in Rb_2ZnBr_4 .^[4,5] But the amplitude and phase modes, which are characteristic of the incommensurate phase, have been observed to harden at low temperature.^[5,6] The transition mechanism in many A_2BX_4 -type crystals is expected to be the same as in K_2SeO_4 , i.e. the softening of the Λ_2 mode is essential to the incommensurate transition.^[7]

The stability of modulated structures was firstly discussed in a competing interactions of the Ising model, four decades ago.^[8] Later the same model (named ANNNI model) was fully analyzed to explain the high-order commensurate phases.^[9] The ANNNI and the derivative models^[10,11] explain the incommensurate-commensurate transitions, but no dynamics can be discussed with the use of the Ising model.

Another type model is the ϕ^4 -model,^[12] which is a discrete lattice model with fourth-anharmonic terms in addition to next-neighbor interactions. Recently, Ishibashi and Janssen have proposed a new discrete model to describe the lattice instability in A_2BX_4 -type crystals.^[13] They analyzed the discrete four-sublattice model, and demonstrated that only a nearest-neighbor (n.n.) interaction can induce instability at a general point on the branch around $q \sim 0.7c^*$. It should be noted that such restricted short-range interactions were firstly introduced by Chen and Walker to explain the successive transitions in many A_2BX_4 -type crystals.^[14]

The observed soft optical branch connects to the acoustic one at zone boundary, and forms a single branch in the extended zone scheme.^[3] Since the Ishibashi-Janssen (I-J) model treats only optical modes, it does not explain the whole phonon branches. Here we present a two-sublattice model modified from the I-J model. Our model satisfies the translation symmetry so that the optical branch, connected to the acoustic one at zone boundary, softens as in the case of K_2SeO_4 .

2. SUBLATTICE MODEL

The basic structure of A_2BX_4 -type crystals consists of two layers, a-b planes perpendicular to the modulation wavenumber along the c-axis.

A SUB-LATTICE MODEL FOR A_2BX_4 CRYSTALS

There are two equivalent atoms on n -th layer, which are denoted by P_n and Q_n . If these variables are interacting through harmonic forces, the total potential energy is given by

$$\begin{aligned}
 U = & 1/2 \sum \{ b (P_n - Q_n)^2 + c [(P_n - P_{n+1})^2 + (Q_n - Q_{n+1})^2] + d (Q_n - P_{n+1})^2 \\
 & + f (P_n - Q_{n+1})^2 + g (Q_n - P_{n+2})^2 + h (P_n - Q_{n+2})^2 \\
 & + j [(P_n - P_{n+2})^2 + (Q_n - Q_{n+2})^2] + k [(P_n - P_{n+3})^2 + (Q_n - Q_{n+3})^2] \} \quad (1)
 \end{aligned}$$

Here, parameters b , c and d are the same as $-B$, $-C$ and $-D$ in the I-J model, where the parameter A is arbitrary, but it should be $A+B+2C+D=0$ in order to satisfy the translation symmetry. We also take long-range interactions g , h , j and k into account. The schematic picture of the structure is drawn in Fig. 1.

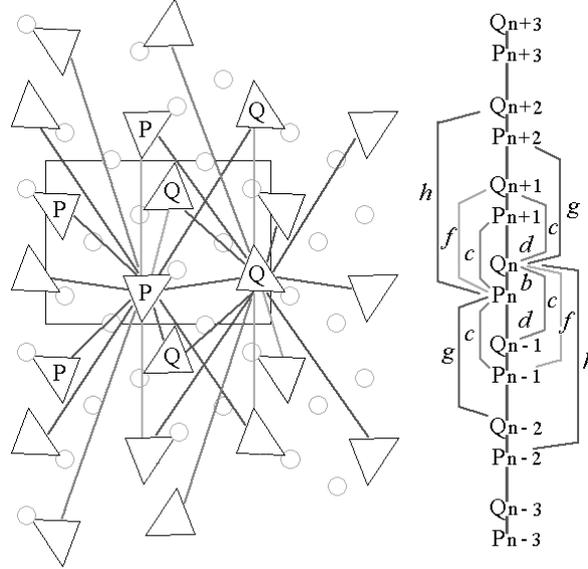


FIGURE 1 A_2BX_4 structure, showing force constants; left is a projection on the bc -plane, right is a projection onto the c -axis (an one-dimensional chain model). The squared box in the left indicates a unit cell. The triangles and circles represent BX_4 and A , respectively.

The Lagrange equation can be written with unit effective mass. The secular equation for the one-dimensional lattice is solved easily;

$$\omega^2 = \alpha + |\beta|, \quad \alpha - |\beta|, \quad (2)$$

where

$$\alpha = b + d + f + g + h + 2c(1 - \cos(q/2)) + 2j(1 - \cos q) + 2k(1 - \cos(3q/2)), \quad (3)$$

$$\beta = b + d e^{-iq/2} + f e^{iq/2} + g e^{-iq} + h e^{iq}. \quad (4)$$

The reduced wavenumber q is π at the zone boundary. Since the acoustic phonon should be stable, we impose that $b + d + f + g + h > 0$ and $2(b + d + f + g + h)(c + 4j + 9k) + bd + bf + dg + fh + 4(bg + bh + df) + 9(dh + fg) + 16gh > 0$.

3. DISPERSION RELATION

In order to get a minimum on the acoustic phonon branch around $q = 4\pi/3$ in the extended zone as in the case of K_2SeO_4 , $k > 0$ or $dh + fg > 0$ must be imposed. This means that second- and third-layer interactions j and k are not necessarily important so far as both first-neighbor interaction d (and/or f) and second-neighbor interaction h (and/or g) work enough.

Now we shall show an example of the dispersion relation. Since the modulated phase of A_2BX_4 -type crystals retains the n-glide symmetry, we assume a ferrodistorptive coupling between P_n and Q_n within a layer, i.e. $b > 0$.

For simplicity, let $b = 1$ and $j = k = 0$. As $dh + fg > 0$ is necessary, we simply put $d = h = 1$ and $f = g = -0.2$. A calculated dispersion relation is drawn in Fig. 2. The acoustic branch makes anti-crossing with the optical branch, and the optical branch becomes soft as the parameter c decreases (it is easily shown that the frequency vanishes at $c = -0.15$).

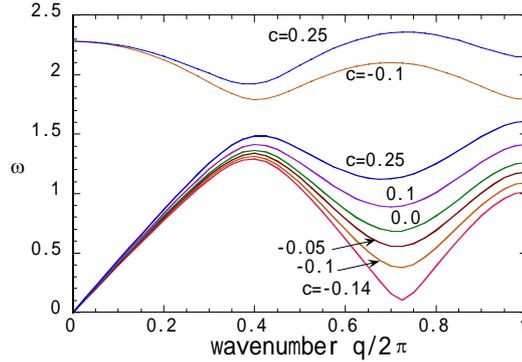


FIGURE 2 Calculated dispersion relation. Parameters are $b = 1$, $d = h = 1$, $f = g = -0.2$, $j = k = 0$ and c is from -0.14 to 0.25 .

4. DISCUSSION AND SUMMARY

Now we fit our model to the observed dispersion relation of K_2SeO_4 .^[3] In order to extract an essence, we select parameters as simple as possible. After some trials, we put $c=-0.8$, $h=1$, $f=g=j=k=0$, and b and d are determined by least-squares calculations. The result is shown in Fig. 3.

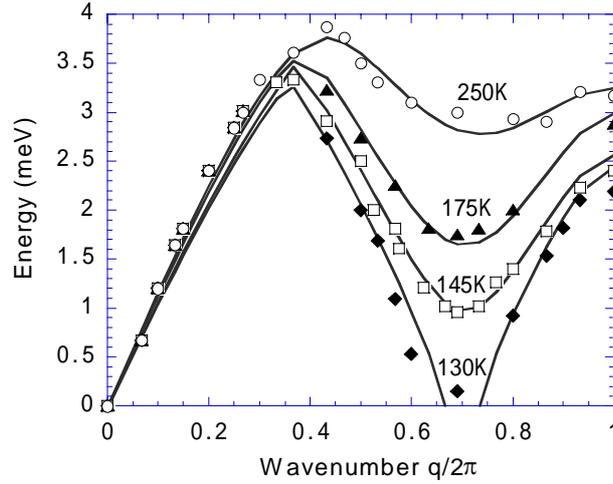


FIGURE 3 Observed dispersion(marks) after Iizumi *et al.*(1977) and the calculated dispersion relation(solid curves) by the two-sublattice model.

Though the fitting for 130K is not so good because of a small number of parameters, the observed dispersion relations are reproduced fairly well over the whole range of the wavenumber.

The fitted parameters are the followings:

$T(K)$	250	175	145	130
b	9.3	3.9	2.5	1.6
d	6.9	6.1	4.9	4.6

There is a tendency that b and d change with decreasing temperature. Since our model is not a thermodynamical but a dynamical one, we can only assume that the parameters depend on temperature in some way.

Iizumi *et al.*^[3] decomposed the observed dispersion relation into Fourier components of the form

$$\omega^2 = \sum_{n=1}^6 F_n (1 - \cos(nq/2)). \quad (5)$$

They found that the effective inter-layer force constants F_1 and F_2 decrease while F_3 increases a little with descending temperature. At about 45K above the transition temperature, F_1 vanishes and decreases further to induce the transition. There has been no explanation of such drastic change of nearest-neighbor interactions.

In our model, no direct interaction between third-neighbors is considered ($k=0$), and the intra-layer interaction b decreases with temperature mostly. However, it is still positive at the transition. As shown in Fig. 2, the negativity of c also promotes the softening of the branch.

In summary, the two-sublattice model, which reflects the structural character of A_2BX_4 -type crystals, can reproduce fairly well the soft phonon branch. The short-range interactions b , c and d (or f) seems to be important. The second-layer interactions g and h (interactions between nearest-neighbor cells) are also indispensable to the incommensurate transition.

References

- [1] J. D. Axe, M. Iizumi, and G. Shirane, *Incommensurate phases in dielectrics 2*, Ed. by R. Blinc, and A. P. Levanyuk, (North-Holland, Amsterdam, 1986), Chap.10, p.1.
- [2] H. Z. Cummins, *Phys. Report* **185**, 211 (1990).
- [3] M. Iizumu, J. D. Axe, G. Shirane and K. Shimaoka, *Phys. Rev.* **B15**, 4392 (1977).
- [4] C. J. de Pater, J. D. Axe and R. Currat, *Phys. Rev.* **B19**, 4684 (1979).
- [5] H. Shigematsu, H. Mashiyama, M. Takesada, K. Ohshima, Y. Oohara and T. Matsui, *J. Phys. Soc. Jpn.* **69**, 2905 (2000).
- [6] E. Francke, M. Le Postollec, J. P. Mathieu and H. Poulet, *Solid State Commun.* **35**, 183 (1980).
- [7] H. Mashiyama, *J. Korean Phys. Soc.* **29**, S419 (1996).
- [8] R. J. Elliot, *Phys. Rev.* **124**, 346 (1961).
- [9] P. Bak and J. von Boehm, *Phys. Rev.* **B21**, 5297 (1980).
- [10] H. Mashiyama, *J. Phys. C: Solid State Phys.* **16**, 187 (1983).
- [11] Y. Yamada and N. Hamaya, *J. Phys. Soc. Jpn.* **52**, 3466 (1983).
- [12] T. Janssen, *Incommensurate phases in dielectrics 1*, Ed. by R. Blinc, and A. P. Levanyuk, (North-Holland, Amsterdam, 1986), Chap.3, p.67.
- [13] Y. Ishibashi and T. Janssen, *J. Phys. Soc. Jpn.* **69**, 3870 (2000).
- [14] Z. Y. Chen and M. B. Walker, *Phys. Rev. B* **43**, 5634 (1991).